| 011 | E |
|-------|--------|
| JAN 2 | 3 2006 |
| 17.6 | .0.597 |

| TRANSMITTAL FORM | | Application No. | 10/72 | 22,170 | | |
|--|---------------------------------|----------------------|------------------------------|--|--|---|
| | | Filing Date | Nove | ember 25, 2003 | | |
| (to be used for all correspondence after initial filing) | | First Named Inventor | Jean | M. Goldschmidt Iki | | |
| | | Art Unit | | | | |
| | | | | Examiner Name | | |
| Total Number of F | Pages in This | s Submissio | on 6 | Attorney Docket Numb | er 42P4 | 495CD |
| | | ENCLOS | SURES (chec | k all that apply) | | |
| Fee Transmittal | Form | | Drawing(s) | | | After Allowance Communication to TC |
| Fee Attac | hed | | Licensing-r | elated Papers | | Appeal Communication to Board of Appeals and Interferences |
| Amendment / Re | esponse | | Petition | | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| After Fina Affidavits/ | l declaration(| s) | Petition to O Provisional | Convert a Application | | Proprietary Information |
| Extension of Tim | ne Request | | Power of A Change of | ttorney, Revocation Correspondence Addres | s | Status Letter |
| | | Terminal Di | isclaimer | | Other Enclosure(s) (please identify below): | |
| Information Disclosure Statement | | Request for | Refund | R | Leturn Postcard | |
| PTO/SB/08 CD, Numbe | | er of CD(s) | | · | | |
| Certified Copy of Priority Document(s) Landso | | cape Table on CD | | | | |
| Response to Missing Parts/ Incomplete Application | | 1 | | | | |
| Basic | Filing Fee | | Remarks | | | |
| Decla | ration/POA | | | | | |
| Response Parts und 1.52 or 1.5 | e to Missing er 37 CFR 53 | | | | | |
| | SIC | SNATURE | OF APPLICAN | IT, ATTORNEY, OR A | GENT | |
| Firm or | Gordon | R. Linde | een III, Reg. N | To. 33,192 | | |
| Individual name BLAKELY, SOKOLOFF, T | | | TAYLOR & ZAFN | MAN L | LP | |
| Signature JAM BAMW ET | | | | | | |
| Date | Januar | y 19, 20 | 06 | | | |
| | | | | ING/TRANSMISSION | | |
| | | | | | | on the date shown below with Box 1450, Alexandria, VA |
| Typed or printed na | me Deb | bie Casia | s | | | |
| Signature | \Box | iddi | , Casian | \mathcal{L} | Date | January 19, 2006 |

Docket No.: 42P4495CD

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Jean M. Goldschmidt Iki, et al.

Application No.: 10/722,170

Filed: November 25, 2003

For: A Method and Apparatus for Managing

Electronic Commerce

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted within three (3) months of filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1- 42P4495CD

The references were cited in a Search Report dated July 29, 1999 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

pbir Capias

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: January 19, 2006

Gordon R. Lindeen III, Reg. No. 33,192

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (303) 740-1980

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Debbie Casias

Date

01-19-06

42P4495CD

JAN 2 9 2006

Substitute for form 1449APTO DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 **of** 2

| | Complete if Known |
|------------------------|-------------------------|
| Application Number | 10/722,170 |
| Filing Date | November 25, 2003 |
| First Named Inventor | Jean M. Goldschmidt Iki |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 42P4495CD |

| | U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------------|--------------------------------|------------------|--|---|--|--|
| | | Document Number | Publication Date | No. of Batteria | Pages, Columns, Lines, Where | | |
| Examiner Initials* | Cite No.1 | Number - Kind Code² (if known) | 1 | Name of Patentee or Applicant of Cited Document | Relevant Passages or Relevant Figures Appear | | |
| | | US-5,539,635 | 07-23-1996 | Larson Jr., Ernest | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | ļ | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | | |
|--|--------------------------|---|--|---|------------------------|--|--|
| | Cite | Cite No.' Foreign Patent Document Publication Date MM-DD-YYYY | | | Pages, Columns, Lines, | | |
| | No.1 | | Name of Patentee or Applicant of Cited Document | Where Relevant Passages or Relevant Figures Appear | | | |
| | | WO 99/35809 | 07-15-1999 | Chen | | | |
| | | WO 97/21291 | 06-12-1997 | Pocock, Michael | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ²Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

| Complete if Known | | | |
|------------------------|-------------------------|--|--|
| Application Number | 10/722,170 | | |
| Filing Date | November 25, 2003 | | |
| First Named Inventor | Jean M. Goldschmidt Iki | | |
| Art Unit | | | |
| Examiner Name | | | |
| Attorney Docket Number | 42P4495CD | | |

| | NON PATENT LITERATURE DOCUMENTS | | | | |
|-----------------------|---------------------------------|---|----|--|--|
| Examiner Initials* | Cite No.¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² | | |
| | | Turbo-Charged Protocols: New chipsets are aimed at breaking network bottlenecks, (Interlocking Strategies); Harrison, Bradford T; LAN Computing, v3, n10, p27 (4), Oct. 1992. | | | |
| | | Fulfillment on the "Net; Yoegel, Rob, Target Marketing v19n7 pp: 30-31, July 1996. | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.